

UNITED STATES DEPARTMENT OF COMMERCE
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SERIAL NUMBER	REQUEST DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
10/708,001	8/27/04	JU YOUNG KIM	NONE

Title: LIGNOCELLULOSE-BASED ANION-ADSORBING
MEDIUM (LAM) AND PROCESS FOR MAKING AND
USING SAME FOR THE SELECTIVE REMOVAL OF
PHOSPHATE AND ARSENIC ANIONIC ETC.

Art Unit	Paper Number
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Correspondence Address:

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222 N. MIDVALE BLVD. STE.22
MADISON, WI. 53705

Licensee under 35 U.S.C. 184 is hereby granted to file in any foreign country a patent application and any amendments thereto corresponding to the subject matter of this U.S. application identified above and/or any material accompanying the petition. This license is conditioned upon modification of any applicable secrecy order and is subject to revocation without notice.

License Number: 536,889

Grant Date: 25-Aug-06

This license is granted retroactively to the date(s) and the country(s) indicated on the attached decision.

Approved:


for Commissioner of Patents and Trademarks

This license empowers the filing, the causation and the authorization of the filing of a foreign application or applications on the subject matter identified above, subsequent forwarding of all duplicate and formal papers and the prosecution of such application or applications.

This license does not empower the filing of any applications, amendments, supplements or continuances originating in this country which disclose inventions, modifications, or variations not disclosed in the subject matter identified above.

This license is to be retained by the licensee and may be used at anytime on or after the date thereof. This license is not retroactive unless specifically indicated.

The grant of this license does not in any way lessen the responsibility of the licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Foreign Assets Control, Department of the Treasury; Office of Munitions Control, Department of State (with respect to Arms, Munitions and Implements of War); the Bureau of Trade Regulation, Office of Export Administration, Department of Commerce; and the Department of Energy.

LICENSE FOR FOREIGN FILING

[Title 35, United States Code (1952) Sections 184, 185, 186]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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Petitioner: JU YOUNG KIM :DECISION ON REQUEST
Petition No.: 10/708,001 :UNDER 37 CFR 5.25
Petition Filing Date: 27 August 2004
Docket No.: 00147/B

Title: LIGNOCELLULOSE-BASED ANION-ABSORBING MEDIUM (LAM)
AND PROCESS FOR MAKING AND USING SAME FOR THE SELECTIVE
REMOVAL OF PHOSPHATE AND ARSENIC ANIONIC
CONTAMINATES FROM AQUEOUS SOLUTIONS

This is a decision on the petition for retroactive foreign filing license.

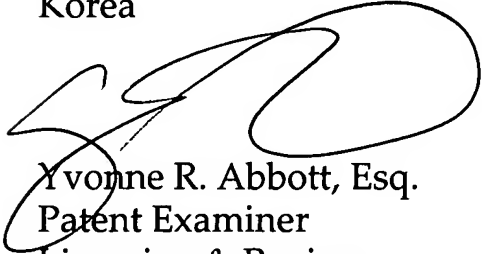
It has been determined that a retroactive license for foreign filing under 35 U.S.C. 184 be granted with respect to the filing(s) listed below. The petition complies with 37 C.F.R. 5.25 in that there is an adequate showing that the subject matter in question was not under secrecy order, that the license was diligently sought, and that the material was filed abroad without the required license under 37 C.F.R 5.11 through error and without deceptive intent.

Foreign Filing Location

Date

Korea

16 September 2003


Yvonne R. Abbott, Esq.
Patent Examiner
Licensing & Review
(571) 272-6896



PATENT

Mail Stop Technology Center 3640
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

PETITION FOR RETROACTIVE LICENSE (37 CFR 5.25)

Dear Director of Technology Center 3640,

Petitions for retroactive foreign filing license under 37 CFR 5.25 (MPEP §140) are delegated to the Director of Technology Center 3640 (MPEP § 1002.02(c)(1) paragraph 7). This Petition is therefore being submitted to your attention.

1. Petition

It is respectfully requested that this Petition for License for Foreign Filing attached hereto be granted retroactively under the provisions of 37 CFR 5.25.

Please note that the Petition for License for Foreign Filing requests (a) expedited handling and (b) a telephone report.

CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10*

- ☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Address"
Mailing Label No. ER964468674US (mandatory)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 17 AUG. 2004

Signature

PATRICIA SMITH KING
(type or print name of person certifying)

(Petition For Retroactive License (37 CFR 5.25)--page 1 of 2)

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2. Material filed abroad without a license

Attached is a copy of the material that was filed abroad without a license for foreign filing. The material comprises the English translation of the Korean patent application no. KR2003-0064186 and attached filing receipt.

3. Identification of inventor(s), title of invention and details of corresponding U.S. application

Inventor(s) name(s): Ju Young Kim

Title of Invention: Lignocellulose-based anion-adsorbing medium (LAM) and process for making and using same for the selective removal of phosphate and arsenic anionic contaminants from aqueous solutions.

In re application of: Ju Young Kim

Application No.: 10/708,001

Group No.: 1754

Filing Date: January 31, 2004

Examiner: none yet assigned

5. Foreign countries and dates of filing of material for which retroactive license requested (37 CFR 5.25(a)(1), (2))

With respect to the material for which a retroactive license is requested, each foreign country in which the patent application material was filed and the date it was filed is as follows:

Foreign Country

Republic of Korea

Date

September 16, 2003

6. Verified Statement(s)

Also attached hereto are the verified statement(s) of:

Ju Young Kim and Patricia Smith King.

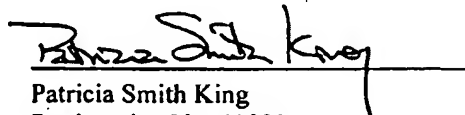
which confirm(s) that, in accordance with 37 CFR 5.25(a)(3)(i)-(iii),

- (a) the subject matter in question was not under a secrecy order at the time it was filed abroad, and that it is not currently under a secrecy order;
- (b) the license is being diligently sought after discovery of the proscribed foreign filing; and
- (c) an explanation of why the material was filed abroad through error and without deceptive intent without the required license under Section 5.11 first having been obtained.

7. Fee (37 CFR 1.17(h))

The fee for this Petition for Retroactive License (\$130) plus the extra fee for expedited handling of the enclosed Petition For License for Foreign Filing (\$130) are paid by the attached check for \$260.00.

Date: 17 Aug. 2004



Patricia Smith King
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1-608-231-2988